

1 Summary (Fill in Names of the Sorts)

Sorting. A sorting algorithm rearranges an array into non-decreasing order. This requires a **total order** on the keys: for any \mathbf{a} and \mathbf{b} , exactly one of $\mathbf{a} < \mathbf{b}$, $\mathbf{a} = \mathbf{b}$, $\mathbf{a} > \mathbf{b}$ holds (trichotomy), and $<$ is transitive.

A sorting algorithm is considered **stable** if order of equivalent items is preserved.

Example: Two items, A and B, are equal. B comes before A in the unsorted array. A stable sort would ensure that B comes before A in the sorted array. An unstable sort would not guarantee this.

Quicksort. Pick a pivot, **partition** the array so that every item left of the pivot is \leq pivot and every item right is \geq pivot (the pivot ends up in its final sorted position), then recurse on the left and right sides.

- 3-Scan: scan three times into a new array. $\Theta(N)$ time but $\Theta(N)$ extra space.
- Hoare: walk one pointer in from the left (stopping on items \geq pivot) and another in from the right (stopping on items \leq pivot), swapping whenever both have stopped. In-place, $\Theta(N)$ per partition.

Runtime: best and average $\Theta(N \log N)$; worst case $\Theta(N^2)$

Stability: Depends on partitioning scheme. 3-Scan partitioning is stable, Hoare partitioning is not.

Avoiding the $\Theta(N^2)$ worst case. Four philosophies:

- **Randomness:** shuffle the input before sorting, or pick random pivots.
- **Smart pivot selection:** take the median, or an approximation such as median-of-three.
- **Introspection:** track recursion depth and switch to mergesort if recursion goes too deep.
- **Preprocessing:** try to detect pathological inputs in advance (limited effectiveness).

Counting Sort. Count the number of occurrences of each item, and store the respective counts in an array. Iterate through the unsorted array, using the count array to place each item in the sorted array.

Runtime: With an alphabet of size R , runs in $\Theta(N + R)$

Stability: Stable

LSD Radix Sort. Sort each digit independently from rightmost to leftmost using a stable sort as a subroutine (such as Counting Sort). Terminate once the leftmost digit has been sorted.

Runtime: With an alphabet of size R and longest key of width W , runs in $\Theta(WN + WR)$

Stability: Stable

MSD Radix Sort. Sort each digit independently from leftmost to rightmost using a stable sort as a subroutine (such as Counting Sort). Terminate when either the rightmost digit has been sorted or if each recursive “bucket” only contains one item.

Runtime: With an alphabet of size R and longest key of width W , runs in $\Theta(N + R)$ in the best case and $\Theta(WN + WR)$

Stability: Stable

2 Radix Sorts

- (a) Sort the following list using LSD Radix Sort with counting sort. Show the steps taken after each round of counting sort. The first row is the original list and the last two rounds are already filled for you.

	30395	30326	43092	30315
1				
2				
3				
4	<u>30315</u>	<u>30326</u>	<u>30395</u>	<u>43092</u>
5	<u>30315</u>	<u>30326</u>	<u>30395</u>	<u>43092</u>

Solution:

The underlined sections denote the digits that have already been sorted.

	30395	30326	43092	30315
1	<u>43092</u>	<u>30395</u>	<u>30315</u>	<u>30326</u>
2	<u>30315</u>	<u>30326</u>	<u>43092</u>	<u>30395</u>
3	<u>43092</u>	<u>30315</u>	<u>30326</u>	<u>30395</u>
4	<u>30315</u>	<u>30326</u>	<u>30395</u>	<u>43092</u>
5	<u>30315</u>	<u>30326</u>	<u>30395</u>	<u>43092</u>

- (b) Sort the following list using MSD Radix Sort with counting sort. Show the steps taken after each round of counting sort. The first row is the original list and the first round is already filled for you.

	21295	22316	30753	21248	30751
1	<u>21295</u>	<u>22316</u>	<u>21248</u>	<u>30753</u>	<u>30751</u>
2					
3					
4					
5					

Solution:

	21295	22316	30753	21248	30751
1	<u>2</u> 1295	<u>2</u> 2316	<u>2</u> 1248	<u>3</u> 0753	<u>3</u> 0751
2	<u>2</u> 1295	<u>2</u> 1248	<u>2</u> 2316	<u>3</u> 0753	<u>3</u> 0751
3	<u>2</u> 1295	<u>2</u> 1248	<u>2</u> 2316	<u>3</u> 0753	<u>3</u> 0751
4	<u>2</u> 1248	<u>2</u> 1295	<u>2</u> 2316	<u>3</u> 0753	<u>3</u> 0751
5	<u>2</u> 1248	<u>2</u> 1295	<u>2</u> 2316	<u>3</u> 0751	<u>3</u> 0753

- (c) Give the best case runtime, worst case runtime, and stability for both LSD and MSD radix sort. Assume we have N elements, a radix R , and a maximum number of digits in an element W .

	Time Complexity (Best)	Time Complexity (Worst)	Stability
LSD Radix Sort			
MSD Radix Sort			

Solution:

	Time Complexity (Best)	Time Complexity (Worst)	Stability
LSD Radix Sort	$\Theta(W(N + R))$	$\Theta(W(N + R))$	Yes
MSD Radix Sort	$\Theta(N + R)$	$\Theta(W(N + R))$	Yes

- (d) We saw in part (c) that radix sort has good runtime with respect to the number of elements in the list. Given this fact, can we say that radix sort is always the best sort to use?

No. Though radix sort runs linear with respect to the number of elements in the list, the runtime also depends on the size of the radix R and the length of the longest “word” W (or the number of digits in a number). Additionally, it is not always possible to use radix sort, because not all objects can be split up into digits. However, comparison sorts can be used on *any* object that defines a `compareTo` method, and would work well with `compareTo` methods that are fast.

3 HeightComparator

Ethan, an extremely tall 61B tutor, is trying to sort the TAs by height so he can snap a photo. Can you help him out?

```
public class TA {
    private String name;
    private int height;
    public TA(String name, int height) {
        this.name = name;
        this.height = height;
    }
}
```

- (a) Implement a HeightComparator below such that it compares two TAs' height. Recall that a Comparator's compare method returns a negative number when o1 is "less than" o2, positive number when o1 is "greater than" o2, and 0 when they are the same.

```
public class HeightComparator implements Comparator<TA> {
    @Override
    public int compare(TA o1, TA o2) {
        return o1.height - o2.height;
    }
}
```

- (b) Anniyat suggests that we use Quicksort with our comparator. Given the following list of TAs, who would make the worst pivot? What about the best pivot?

```
TA anniyat = new TA("Anniyat", 70000);
TA aditya = new TA("Aditya", 1);
TA elana = new TA("Elana", 5);
TA sree = new TA("Sree", 7);
TA kevin = new TA("Kevin", 25);
TA elaine = new TA("Elaine", 9);
TA daniel = new TA("Daniel", 4);
TA teresa = new TA("Teresa", 8);
TA diego = new TA("Diego", 8);
```

Solution: Generally speaking, the worst pivot for Quicksort on a collection is that collection's minimum and maximum values, because the sublists would be partitioned very poorly. The worst pivots in the list are therefore Anniyat (maximum height) and Aditya (minimum height). On the other hand, the best pivot for Quicksort on a collection is that collection's median value. We see that the median height is 8 so either Teresa or Diego would make good pivots.

- (c) Diego points out that even though he got in line after Teresa, he ended up in front of Teresa in the sorted list produced by Quicksort (which he doesn't like because that makes it seem like he's shorter than Teresa)! How might we ensure that Diego ends up behind Teresa?

Solution: If we want to preserve ordering of same-valued elements in the original collection when sorted, we should use a stable sort like insertion sort or merge sort! Technically speaking, there is a stable Quicksort possible, but we generally don't use that version.

- (d) Our TAs have just been sorted by height, but suddenly Vika and Wilson come running in late! Which sort will do the most minimal work to get them in their correct spots, and what is the additional runtime it will take (ie. not including the runtime for sorting all the other TAs first)?

Solution: Insertion sort: it is the most efficient on an already-sorted or nearly-sorted list (ie. on a completely sorted list, it will make a linear pass and terminate without any swaps, as opposed to something like Quicksort, merge sort, or heapsort, which would indiscriminately try and sort the list without checking if it is already sorted). We can get everyone in sorted order by tacking on Vika and Wilson to the end of the already-sorted list of TAs, and running insertion sort starting with them (instead of the beginning of the list). At worst, we'd have to make two linear passes (ie. Vika and Wilson are the two shortest TAs), so our overall runtime to get everyone sorted again would be $\Theta(N)$.

4 LSD Radix Sort

In this question, we are trying to sort a list of strings consisting of **only lowercase alphabets** using LSD radix sort. In order to perform LSD radix sort, we need to have a subroutine that sorts the strings based on a specific character index. We will use counting sort as the subroutine for LSD radix sort.

- (a) Implement the method `stableSort` below. This method takes in `items` and an `index`. It destructively sorts the strings in `items` by their character at the `index` index alphabetically. It is stable and should run in $O(N)$ time, where N is the number of strings in `items`.

```
private static void stableSort(List<String> items, int index) {
    Queue<String>[] buckets = new Queue[26];
    for (int i = 0; i < 26; i++) {
        buckets[i] = new ArrayDeque<>();
    }
    for (String item : items) {
        char c = item.charAt(index);

        int idx = c - (int>('a'));

        buckets[idx].add(item);
    }

    int counter = 0;
    for (Queue<String> bucket : buckets) {
        while (!bucket.isEmpty()) {
            items.set(counter, bucket.poll());
            counter++;
        }
    }
}
```

- (b) Now, using the `stableSort` method, implement the method `lsd` below. This method takes in a `List` of `Strings` and sorts them using LSD radix sort. It should run in $O(Nc \cdot M)$ time, where N is the number of strings in the list and M is the length of each string.

```
public static List<String> lsd(List<String> items) {
    int length = items.get(0).length();

    for (int i = length - 1; i >= 0; i--) {
        stableSort(items, i);
    }

    return items;
}
```